

UA Identity Theft Prevention Program

Records & Information Security Management

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Presented to: Board of Regents Audit Committee

University of Alaska Records & Information Management

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- ...Records & Information Management Missions
- ...Ensure compliance
- ...Information Governance
- ...Enterprise Content Management

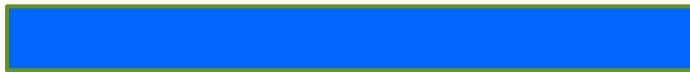
Identity Theft Complaints

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Maximum ID Theft Victims: Age 29

Source: Federal Trade Commission, February 2016 Consumer Sentinel Network

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- Maximum number of victims belong to Age 29 years
- 70% of the victims are students or in early stages of careers
- Universities /Colleges have higher responsibility and need to be cognizant
- Records retention schedule and information governance in compliance with the regulations

Federal Trade Commission Fair & Accurate Credit Transaction Act RED Flag Rules

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- ... Congress enacted the FTC FACTA of 2003 to help combat identity theft
- ... The final rules and guidelines became effective in 2009
- ... Section 114 of FACTA contains the Red Flag Rules that require UA to develop & implement a written Identity Theft Prevention Program
- ... The Rule supplements existing legislation aimed at preventing identity theft
- ... Applies to institutions and creditors with covered accounts
- ... Picks on where data security leaves off

Overview of the Red Flags Rule

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%o What Are Red Flags?

%o Definition

Activity that could indicate a risk of identity theft

%o Purpose

Identify, Detect, Prevent & Mitigation and Response

%o Responsibilities

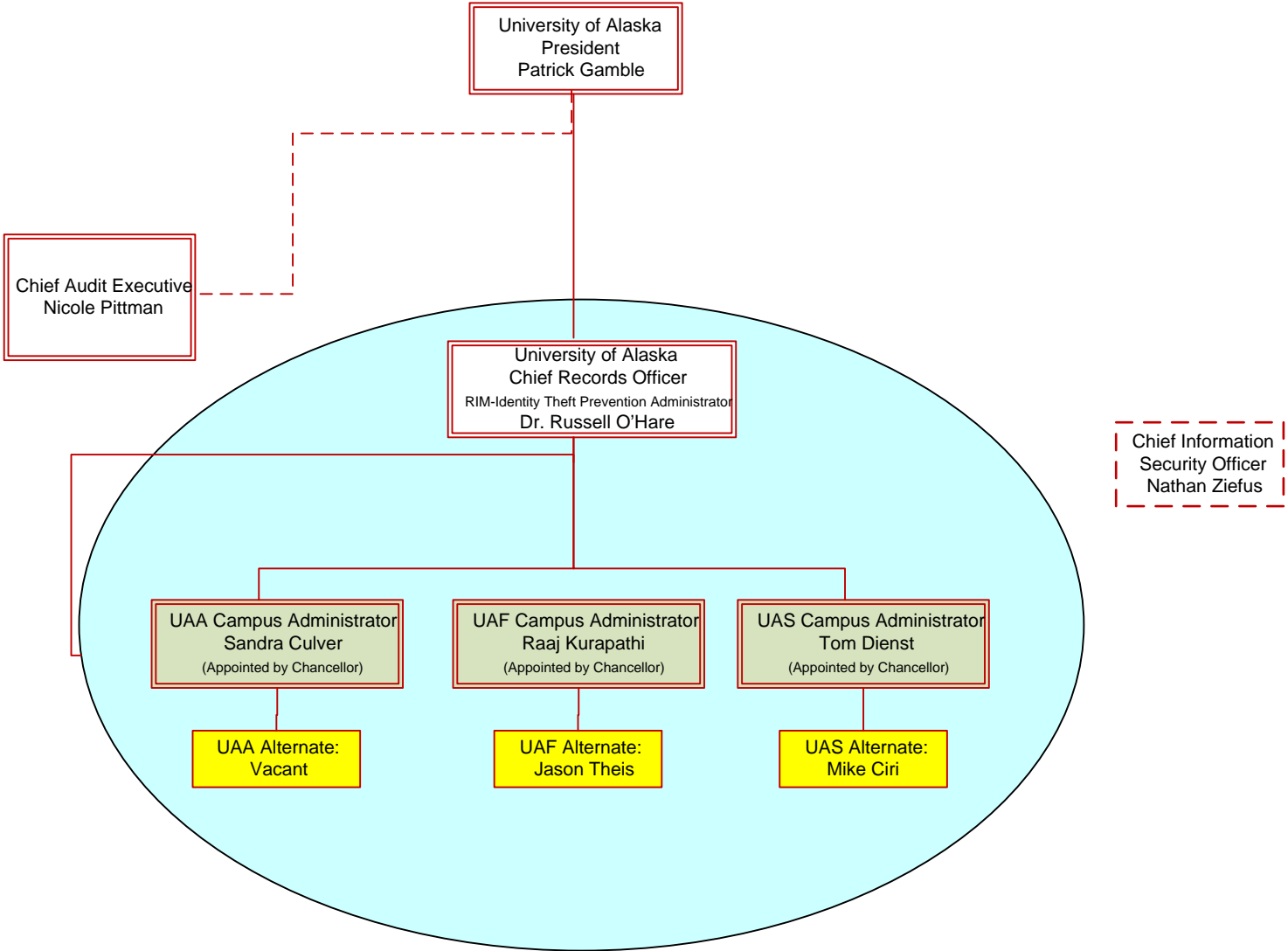
Protect against unauthorized access of personally identifiable information

UA Identity Theft Prevention Program

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- ... Program approved June 5, 2009 by the Board of Regents
- ... Consistent with the Board of Regents Policy P.05.02.90 financial fraud, waste and abuse
- ... Developed pursuant to the Federal Trade Commission's (FTC) "Red Flags" Rule, which implements Section 114 of the Fair and Accurate Credit Transactions Act.
- ... Adds to compliance regulations from Dept. of Education
- ... Distinct from data security requirements
- ... Alaska State Statute 4548: Personal Information Protection Act

Identity Theft Prevention Commit



Red Flags & Current Security Protocols

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- ... UA maintains protocols and procedures to address privacy of student and employee records & information, applying state and federal privacy laws
- ... Data security also plays an essential role in keeping people's information protected
- ... Red Flag Rule picks up where data security leaves off by working to prevent identity theft by ensuring institutions have appropriate practices and protocol to identify risks, procedures to address those risks and prevent future risks.

Identity Theft Prevention & Red Flag Rule Implementation at UA

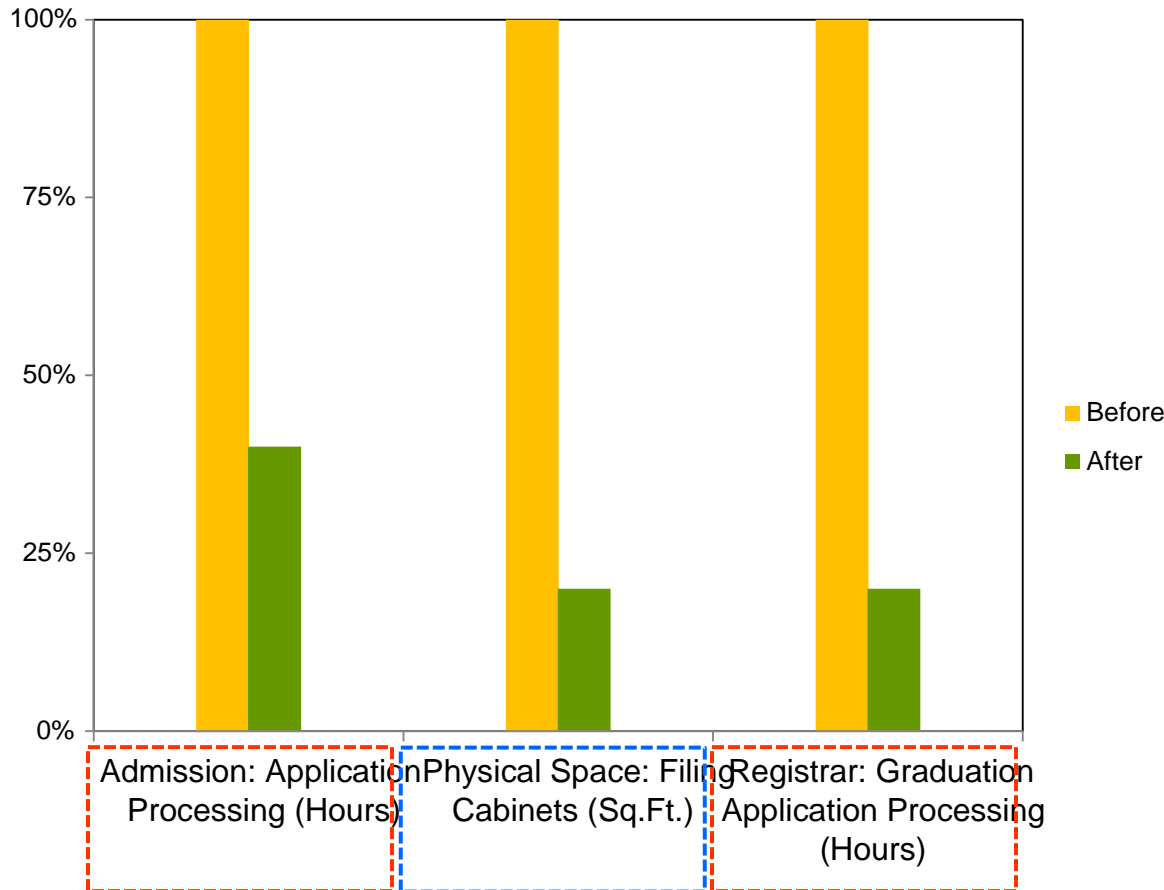
- ... Identity Theft Prevention Committee annually reviews the program & training
- ... Individuals working with Covered Accounts receive annual training
- ... Will maintain an awareness of changes in identity theft, detection and prevention methods
- ... ID Theft Prevention training available via UA Online eSkillsoft

2013 Red Flag UA Identity Theft Prevention Program Report

- ... In 2013, no instances of suspicious activities covered accounts or incidents of identity theft
- ... The campuses' report the program procedures have strengthened the protection of the university's customer information
- ... There are no recommendations for modifying the program at this time

OnBase Integration Process Efficiency across University of Alaska

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60% - Reduction in time to process Admission application
80% - Reduction in Physical Space/Filing cabinet
80% - Reduction in time to process Graduation application